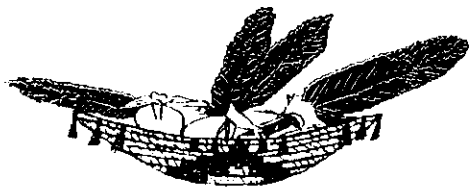


# MESCALERO

## Apache

### SCHOOL

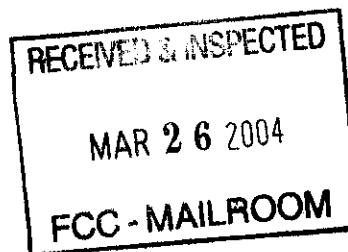


P.O. Box 230, 210 Central Mescalero Ave.  
Mescalero, New Mexico 88340  
Phone: (505) 671-4431 Fax: (505) 671-4822



"Mescalero Chiefs"

March 18, 2004



Federal Communications Commission  
Office of the Secretary  
445 12<sup>th</sup> Street, S.W.  
Washington, DC 20554  
Fax Number: (202) 418-0187  
CC Docket No. 02-6

Dear Sir or Madam:

1. **Provide your Contact information.** Please list names, address, telephone number, fax number, and e-mail address (if Available) for the person who can most readily discuss this appeal.

**Contact: Gino Wilcox**  
**Voice: 505-464-4431**  
**Fax: 505-464-4822**  
**Email: [gwilcox@mescaleroas.org](mailto:gwilcox@mescaleroas.org)**

2. **Identify which SLD action you are appealing-**Your Letter of Appeal must include the applicant name, the form 471 application number, and the Billed Entity Number from the top of your FCD Letter

Applicant Name: Mescalero Apache School District  
Form 471 Application Numbers: 309864, 317139, 318353, 318555, 318687,  
318852, 320534  
Billed Entity Number: 147230

No. of Copies rec'd 0  
List ABCDE

**3. Identify the particular Funding Request Number, whenever applicable, that is the subject of your appeal.**

806333

838498

841252

841888

842454

843070

869499

**4. Appeal**

The Mescalero Apache School District filed an appeal September 9, 2003 to the Schools and Libraries Division in response to the funding commitment decision:

Budget: You did not demonstrate that you have **secured the financial resources to pay your share and the estimated investments** you reported for Hardware, Professional Development, Software, Retrofitting and Maintenance.

This decision was made for all of the funding request numbers. In our appeal to the SLD, our school district created an argument to demonstrate throughout the enclosed budget information, invoices, and copies of checks that we do have secured financial resources to pay our share and the estimated investments. The SLD appeal included the following two paragraphs along with all other materials and documentation found in the Budgets and Estimated Investments Sections of this binder:

{There our three budget plans submitted. The first is the Equipment Budget Summary which shows \$3,000,000.00 allocated to us by the Mescalero Tribe to purchase furnishings and equipment for our new school project (Budget 1; Attachment 1). Funding commitment numbers 838498 and 841252 were paid in full utilizing these funds within the Year 5 funding period 07/01/02-06/30/03. Therefore we are seeking (90%) reimbursement for these two applications (Budget 1; Attachments 2-14 & 15-24). The second is our General Operation Budget. Line item 3416 Communications displays \$31,300.00 of approved budget on 07/01/02 (Budget 2; Attachments 25-26). Funding commitment number 869499 was paid in full utilizing this budget within the Year 5 funding period. Therefore, we are seeking (90%) reimbursement for FRN 869499 (Budget 2; Attachments 27-40). The (10%), our portion, was paid in full utilizing this budget for funding commitment number 806333 within the Year 5 funding period (Budget 2; Attachments 41-55). The third is our School Budget 2003-2004 that will be utilized to pay our portion for funding commitment numbers 841888, 842454, 843070 (Budget 3; Attachments 56-70).

Documentation has been included that addresses our **estimated investments**. You will find a **synopsis, invoices, copies of checks, and payment vouchers** in this section that demonstrate that we do have equipment in place, training has occurred, maintenance

issues have been and continue to be addressed, and facilities are more than adequate to support the ERATE eligible requested and purchased services.}

The Schools and Libraries Division denied our appeal on these grounds:

**It was determined that your school district failed to provide the revenues portion of their 2002-2003 budget, as requested by the SLD, which is necessary information to complete the item 25 review. The SLD Requested additional information from you in a fax...You responded in a fax dated 3/28/03 and 4/2/03, of which none of the provided documentation included the revenues portion of the budget. On appeal, you resubmitted the budget plans with additional backup documentation (invoices, checks, vouchers); however, SLD will not accept new information on appeal that is inconsistent with information in the file used during review. Since you did not submit sufficient documentation to complete the Item 25 review, the appeal is denied.**

Furthermore, the SLD gave these reasons for denying our appeal:

**FCC rules require applicants to certify that, at the time they submit the FCC Form 471, they have secured access to all of the resources, including computers, training, software, maintenance, and electrical connections necessary to make effective use of the services purchased as well as to pay the discounted charges for eligible services. SLD reviews this certification by conducting an item 25 "necessary resources" review.**

**SLD's review of your application indicated that the information you provided during the item 25 review was not sufficient to demonstrate that, at the time you submitted your Form 471 application, you had secured access to these funds. In your appeal, you did not demonstrate that at the time you submitted your Form 471 application, you had secured access to these funds. Consequently, SLD denies your appeal.**

The first part of our appeal will address the statement **"The SLD Requested additional information from you in a fax...You responded in a fax dated 3/28/03 and 4/2/03, of which none of the provided documentation included the revenues portion of the budget."** We dispute this fact. In the original Item 25 selective Review we submitted the Equipment Budget Summary for the k-12 School Project showing \$3 million dollars allocated for equipment for the school district (Please see attachment D). This was allocated to the school from the Apache Tribe. The reviewer did not put this into the pool of money that we had available for our matching funds. We were then asked for the operational budget. Which we responded to in the 3/28/03 fax (Please see attachment E paragraph one), where we reminded the reviewer to include the \$3 million dollars previously sent in the selective review. This was ignored. On the 3/28/03 fax (Please see Attachment B), there is a current budget and the revenues spent to-date at the time of request. Please keep in mind that we were expending 100% of our local and long distance services as well the 10 % of the cellular services that we were requesting. We were then asked to clarify the revenue line item that referenced our ability to pay our share of the

erate non-eligible and matching funds. We were told at that time only to fax the pages pertaining to the revenue. Which we did on 4/2/03. We faxed back the current budget as of 4/2/03 showing the revenue and expenditures to date. The reviewer did not take into consideration that we had already paid 100% on two FRN's 838498, 841252 utilizing the 3 million dollar budget (Attachment D) and that money should have been put into the pool of our eligible and matching funds at the time we filed our 471. Or the reviewer should have referred to the copies of the budget we provided in the original Item 25 starting at beginning the school year. There was \$30,000 at the beginning of the year in the Communication line item 3416 (Attachment B) in addition to the \$3 million in the k-12 school project (Attachment D) which was more than adequate to cover all of our share of non-eligibles and matching funds. This brought us to the appeal process where we pointed what we thought was an incorrect assumption by the SLD Reviewers which is admissible pursuant to SLD's own Appeal Guidelines, which state that when "...funding is denied based on an incorrect assumption, the SLD will grant appeal when the appellant points out the incorrect assumption and provides documentation about the issue that is consistent with information originally provided but also successfully resolves the ambiguity in the original file."<sup>1</sup>, according to FCC File No. SLD-292913 (Please see attachment C).

The second part of our appeal will address the statement, "On appeal, you resubmitted the budget plans with additional backup documentation (invoices, checks, vouchers); however, SLD will not accept new information on appeal that is inconsistent with information in the file used during review." We are unable to locate anywhere in the USAC-Schools and Libraries Division Program Description that we cannot submit information for a SLD appeal that is inconsistent with information submitted in the selective review. Secondly, since we were denied on information pertaining to budget and item 25 information submitted for the selective review in the first place, why would we send the exact same information for the appeal. If it was denied once, that same information will be denied again. Therefore in our appeal to the SLD, we built upon the documentation submitted in the selective review. We not only submitted the budget information showing revenues and expenses, but we also included documentation to prove we could pay our 10% requirement. This proof is in the form of checks and invoices that are dated within Year 5. Not only did we pay our required 10% portion for 806333, but also we paid 838498, 841252, and 869499 in full and are seeking 90% reimbursement (Budget 1; Attachment 1) (Budget 2; Attachments 27-40). We also included an Estimated Investments section in our appeal that addresses Item 25 information: Hardware, Professional Development, Software, Retrofitting and Maintenance. The documentation in this section goes beyond estimates and shows concrete evidence in the form of checks and invoices that we have purchased equipment and services and have complied to program rules stating we secure access to all of the resources, including computers, training, software, maintenance, and electrical connections to make effective use of the services purchased. This brought us to the appeal process where we pointed out what we thought was an incorrect assumption by the SLD which is admissible pursuant to SLD's own Appeal Guidelines, which state that

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when "...funding is denied based on an incorrect assumption, the SLD will grant appeal when the appellant points out the incorrect assumption and provides documentation about the issue that is consistent with information originally provided but also successfully resolves the ambiguity in the original file."<sup>2</sup>, according to FCC File No. SLD-292913 (Please see Attachment C, number 6). The FCC ruled that new information may not be admitted to contradict earlier information, but can be admitted to clarify or resolve an ambiguity. The new information that we submitted did not contradict earlier information but it clarified what we felt the SLD was not considering in their denial.

The third part of our appeal will address the SLD's decision, "item 25 review was not sufficient to demonstrate that, at the time you submitted your Form 471 application, you had secured access to these funds and did not demonstrate it in your appeal." The Selective Review Instructions and Worksheet Page 7, Sections II through VI, makes the following statements (Attachment A):

"For Sections II through VI of the worksheet, it is not initially necessary to document your estimates. However, in the event of a future audit, and depending on the results of our analysis, you may be asked to provide additional documentation to clarify or substantiate your estimates. For Sections II through VI (Hardware, Software, Professional Development, Retrofitting, and Maintenance), you will be asked for investment amounts in these areas for Fiscal year prior to the program funding year (2001-2002 or equivalent) and also for the Fiscal year investment that coincides with the program Funding year (2002-2003 or equivalent)."

"Securing access to necessary resources for non-eligible products and services does not mean that all resources to support the purchased services must be paid for out of current year funds. Therefore, if you can demonstrate that the computers are in place, the training has occurred, or the electrical system has been upgraded as a result of investments in previous years, then those resources are available to support the purchased services. You may attach a page to the worksheet or cross-reference the technology plan you have provided, to document that these resources are available."

Sections II through VI, regarding Item 25, information state that we **estimate** total expenditure and provide statistics such as "number of computers adequately connected to the Internet as of today" in Section II Hardware (Attachment A). We provided exactly what the instructions asked for, estimates and statistics on our Item 25 worksheet of the selective review. The instructions stated "you may attach a page to the worksheet or cross-reference our technology plan" but nowhere does it say it is a requirement. The SLD "reviews this certification by conducting an item 25 "necessary resources" review." The SLD is basing the "necessary resources" review on **estimates**, the only information stated in the instructions as being sought. The SLD denied all of our applications because of this item 25 worksheet information.

In our appeal to the SLD, we addressed this issue by including the Estimated Investments section of the binder that has invoices, copies of checks, and payment

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vouchers that demonstrate that we do have equipment in place, maintenance, training has occurred, and electrical upgrades. This equipment was purchased prior to or the beginning of the fiscal year 2002, which is also the beginning of ERATE Year 5. Refer to the dates of these checks and invoices as proof. Once again, the SLD denied our applications stating we are not demonstrating we have the Item 25 equipment and services in place.

We believe our district is in compliance with all program rules. We have submitted our budgets with revenues and expenses and proven that we can pay our 10% requirement. We have secured access to all of the resources, including computers, training, software, maintenance, and electrical connections necessary to make effective use of the services purchased and provided proof of this in our appeal.

- 5. Provide an authorized signature on your letter of appeal when you file your appeal by mail, by express delivery service, by hand delivery Service, or by facsimile.**

A handwritten signature in black ink, appearing to read "Gino Wilcox", written in a cursive style.

Gino Wilcox  
Computer Technician/ERATE Coordinator  
Mescalero Apache School District

Attachment: A



Universal Service Administrative Company  
Schools & Libraries Division

CASE SR-2002-BEN#147250

**E-RATE SELECTIVE REVIEW INFORMATION REQUEST**

<b>To:</b>	Gino Wilcox	<b>From:</b>	Ken Collis
Your Phone Number	505-464-4897	My Phone Number	973-884-8137
Your Fax Number	505-464-8100	My Fax Number	973-599-6515
Organization	Mescalero Apache School District	My Email Address	kcollis@sl.universalservice.org
Today's Date	1/28/03	Number of Pages:	15

Please respond by:	2/11/03
Form 471 Application Numbers:	298587, 309864, 317139, 318353, 318555, 318687, 318852

**PLEASE CALL TO CONFIRM THAT THIS FAX HAS BEEN RECEIVED IN ITS ENTIRETY**

**Time Sensitive – 14-Day Response Expected**

It is important that we receive all of the information requested within 14 calendar days of the date of this fax so that we may complete our review of your funding request(s).

**Who can respond to this request for information**

This fax requests information that must be provided by the applicant, or by the applicant's authorized representative. If the applicant is responding to this fax, it must return the enclosed certification (the last page of this fax) to SLD along with the requested material. If the applicant's authorized representative is responding to this fax, the authorized representative must sign and return the enclosed certification (the last page of this fax) to SLD along with the requested material AND a letter of agency or other agreement between the applicant and contact authorizing them to act on the school or library's behalf.

**How to respond**

Please send your responses to the questions outlined on the following pages to:

Via Fax:	Via Expedited Mail:	Via email:
Ken Collis PIA Selective Review (973) 599-6515 (fax)	Ken Collis SLD 80 S. Jefferson Road Whippany, NJ 07981	kcollis@sl.universalservice.org

Thank you for your assistance as we work to assure the integrity of the Schools and Libraries Universal Service Fund. It is important that we receive all of the information requested within 14 calendar days. A checklist of the items requested in this fax is included on the last page.

## Attachment: A

Dear E-Rate Applicant:

*USAC's Goal*

In order to ensure that applicants are following certain program rules relating to certification statements and competitive bidding processes, you have been selected for a two part review (Selective Review). We are seeking information about (1) your competitive bidding and vendor selection processes, and (2) information to support the certification you made on FCC Form 471, Item 25, regarding the access that you have secured to all of the necessary resources to make effective use of the requested products and services.

**Information Regarding the Competitive Bidding Process and Vendor Selection**

*Invoices surface*  
*Goal (1)*  
*Explain*

1. Please provide signed and dated copies of all contracts relating to your Funding Year 5 Form(s) 471. If contracts are not provided, please explain why you have not provided them. If the price on the contract is different from the pre-discount price on your Form(s) 471 please explain the difference and account for the difference. (For example, if the dollar amount on the contract is higher than the dollar amount on your Form(s) 471 indicate which services have been backed out, if that is the case. If the dollar amount on the contract is lower than the dollar amount on your Form(s) 471, explain why.) *\* Pricing changes from Jan '02*

*470's*  
*Goal (1)*  
*Explain*

2. Please provide a copy of all requests for proposals (RFPs, invitation to bid, request for bids, etc.) or other documentation of bid requests for services and/or products requested on each Form 471. You do not need to provide copies of Form(s) 470 that were posted to the website. If RFPs are not provided, please explain why you have not provided them. *\* Develop RFPs*

*Goal (1)*

3. Please provide complete copies of all bids that were received. *\* only allow bids per application*

*Goal (1)*

4. Please provide complete documentation indicating how and why you selected the service provider(s) selected. This documentation should include a description of your evaluation process and the factors you used to determine the winning contract(s). *\* Scope of facility, quality of equipment, etc.*

*Goal (1)*

5. Please provide a copy of the entire consulting agreement(s) relating to the planning, implementation and support of your E-rate funding request(s). *\* Do we have consulting agreement? If Planner doing work*

*Goal (1)*

6. Please provide a copy of all correspondence between your service providers and consultants and the school regarding the competitive bidding process and the application process.

If any of the information requested above is not available, please explain why it is not available. This explanation should include how you conformed with applicable local and state procurement regulations and your organization's procurement and bidding policies.

**Information Regarding Your Item 25 Certification**

**Overview**

To ensure that E-Rate funds are allocated appropriately, and in accordance with FCC Orders, each applicant is required to certify in Item 25 of FCC Form 471 that: "The school(s) or



## Attachment: A

library(ies) I represent have secured access to all the resources, including computers, training, software, maintenance, and electrical connections necessary to make effective use of the services purchased as well as to pay the discounted charges for eligible services." The Item 25

Certification review also looks at the applicant's ability to pay for their share of E-rate funding. In the course of the Item 25 Certification review we will ask for:

- Goal (2)
- documentation of your ability to pay for your share of E-Rate discounts and estimates of non-E-Rate eligible resources that you might use to meet this certification.
  - your estimates of hardware, software, professional development, retrofitting and maintenance investments that might not be E-Rate eligible, but are necessary to make effective use of the E-Rate discounts you have requested.
  - a copy of your technology plan. ✓
  - an estimate of the technology level of all schools or libraries within your organization following E-Rate. ~~low~~ High Level

slow  
Invoice  
-7 (+ B. 11)

all Verizon  
Expenses  
Paid for  
Budget

1. hardware / software  
Tech support  
2. Budget for  
prof. development  
RDTA

The Item 25 Certification Review takes place on a system-wide basis. (also called a "billed entity") level. We will be requesting, and looking at information for ALL 471(s) filed for the 2002-2003 funding year, Funding Year 5, that were filed by the entity listed on the cover page of this fax.

First, re-familiarize yourself with the Form(s) 471 that you filed for Funding Year 5. We have listed Funding Year 5 applications that we have identified to date that are a part of this review on the cover page of this fax. Please notify us if you have additional Forms 471 for Funding Year 5 that we have not listed. The review will include those as well. It is important that you identify any additional applications that you have filed at this time for Funding Year 5. Failure to do so may delay or jeopardize funding commitments; and/or post-commitment disbursements. Failure to do so may also result in future adjustments to any commitments that you may receive from us.

If you have additional application (s) not listed above, you might also need to make an adjustment to the amounts we listed in the Item 25 Worksheet Summary Sections I-A and I-B.

mat I 471

make  
adjustments  
for MAT I  
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with the w

### Instructions for Completing the Item 25 Worksheet Summary: Fax Back Page 1 (Page 12 of this fax.)

#### Section I: Connectivity

##### Subsection I-A. Commitment Amount Requested

We have looked at the Forms 471 that you filed for Funding Year 5. We added up the commitment amounts that you requested on those Forms 471, by service category (the amount(s) from Form 471, Block 5, Item 23k). We placed those sums next to the respective service category(ies) in Section IA on the *Item 25 Worksheet Summary: Fax Back Page 1* (numbers 1, 2 and 3 on the *Item 25 Worksheet Summary: Fax Back Page 1*, page 12 of this document). Please verify that these are the correct sums of the funding request amounts. As noted above, this part of the review is based on your ability to pay the non-discounted portion of the funding that you requested on your Forms 471. Therefore, unless and until certain funding requests (FRNs) are determined to be non-fundable (e.g. funding cap prevents funding certain requests) we are asking

## Attachment: A

to see that you have budgeted for all the requests that you listed on all the 2002-2003 Forms 471 that you filed for your entity. Also note that if you have identified 471s, in addition to those listed on the cover page of this fax, and those additional 471s mean that the amounts we placed in Subsections I-A and I-B are too low, please make the adjustment on the worksheet and explain the adjustment when you return that worksheet.

*we are making monthly payments for cellphone service*

*Invoices  
for 471s  
in full  
amount  
to be  
paid*

Subsection I-B. Applicant's Share

In Section I-B of the Item 25 Worksheet you are being asked to document the funds you have available to pay for the discounted charges on eligible services. These are funds for paying your share of telecommunications services, Internet access, or internal connections costs after the discount has been applied. The FCC intentionally required applicants to pay for a portion of their services in order to encourage cost-effective practices. For further information on your obligation to pay this amount, please see "Obligation to Pay Non-Discount Portion" in the Reference Section of SLD website at [www.sl.universalservice.org](http://www.sl.universalservice.org).

We added up the "applicant share" of the amounts that you requested on Funding Year 5 (2002-2003) Forms 471, by service category. [The difference between Form 471 Block 5, Item 23i (the total program year pre-discount \$ amount) and Form 471 Block 5, Item 23k (the funding \$ request)] The applicant share is also known as the "non-discounted amount," that is, the amount that the applicant is required by FCC rules to pay. We placed those sums next to the respective service category(ies) in Section I-B on the Item 25 Worksheet Summary: Fax Back Page 1. (numbers 5, 6 and 7 on the *Item 25 Worksheet Summary: Fax Back Page 1*, page 12 of this document). Please verify that these are the correct sums of your share of the charges.

*They are wrong  
do to addition of  
MATI + Sub  
traction  
of  
Coyote*

**\*For Section I-B of the worksheet, please include the relevant pages of your operating or facilities budget to document that you are able to pay for your share of the purchased services.** To allow us to conduct our review, please be sure all the following are included on the budget that you fax and/or send to us:

- The name of the entity to which the budget applies (is it for a school? For a district? For one library outlet? For a library district? For a municipality?) *MAS*
- The time frame that the budget covers, including a starting date and ending date (e.g. July 1, 2002-June 30, 2003) ✓
- Please mark on the budget from which fund(s)/budget lines the dollars you will spend on E-rate (applicant share) will come, the specific amount that will come from each fund/budget line. For example, if budget line A000002 contains \$200,000 and \$100,000 will be used to pay your share of E-rate, then please circle that budget line, draw an arrow to it or asterisk it, and mark "E-rate, \$100,000."
- Both revenues and expenses. ✓

A discrepancy between the sum of the amounts identified on your budget(s) and the sum of the Forms 471 could delay or jeopardize processing of your forms. Please explain any differences

## Attachment: A

between the applicant share on the Forms 471 you filed and the amounts on the budget that you provide.

*Have you done this?*  
*of 2003 Budget*  
**Draft Budgets**

If a budget is not available or is still in the approval process, we will accept any of following, provided a letter signed by a school or library official (superintendent, board president, chief business officer) **accompanies** the document(s).

The letter should explain what phase of the approval process you are in, whether your share of funding is contingent on any outside action (e.g. voter approval, board approval, state legislation, etc.) and whether in the absence of such outside approval, you anticipate being able to meet your share. Please make sure that the documentation you submit with the letter includes the same information as we requested above for a final budget. Also make sure that the letter identifies the specific amount that you will have available to pay your share. For example, if you have \$100,000 that you will be putting in your budget, make sure that that is noted in the letter.

- A draft budget
- A resolution of a governing Board authorizing the filing of a Form(s) 471 for a given dollar amount, for given services and/or products, within a given timeframe. For us to consider such a resolution sufficient evidence that your entity has provided for payment of your share of E-rate, the resolution should specify the funding year, the fiscal year, or the school year during which the payment is authorized.
- If donations (or other dollars from any contributor) are a source, a signed commitment letter from the donor (e.g. school or library foundation) to the applicant specifying 1) the level and commitment of funds or other resources; 2) the timing of the delivery of such resources, along with a dispositive indication that the resources are for E-rate supported products/services or for items needed to use effectively the discounted services. (The indication as to the use of the resources might come from the donor or be reflected in a Board resolution committing donations to E-rate related purposes.)

Note: If a final, approved budget is provided, we may verify that budget with independent sources.

If a final, approved budget is **not** available, we require a combination of a letter (described above) AND one of the bulleted examples above. We require **both**, **not** one or the other.

*Anything E-RATE eligible we applied for*  
Subsection I-C. Amounts not covered by USF Program

In Section I-C of the Item 25 Worksheet you are asked to indicate the total amount of money that you will pay during Funding Year 5 (07/01/2002 - 06/30/2003) for E-Rate eligible products/services for which you are NOT requesting E-Rate funding.

- Separate the services/products into their respective service categories

## Attachment: A

(telecommunications, Internet access and internal connections) and total the results of each category.

- Enter these amounts in the Item 25 Worksheet Summary, page 10, Section I-C in the respective service category (Numbers 9, 10, 11).
- Sum items I-C, 9 through 11 and enter this amount in #12.

### Sections II through VI: Hardware, Professional Development, Software, Retrofitting and Maintenance

For Sections II through VI of the worksheet, it is not initially necessary to document your estimates. However, in the event of a future audit, and depending on the results of our analysis, you may be asked to provide additional documentation to clarify or substantiate your estimates.

*\* They are just asking for estimates*

For Sections II through VI (Hardware, Software, Professional Development, Retrofitting, and Maintenance), you will be asked for investment amounts in these areas for the **FISCAL** year prior to the program funding year (2001-2002 or equivalent) and also for the **FISCAL** year investment that coincides with the program Funding Year (2002-2003 or equivalent). Since fiscal year calendars vary from one location to another, you may have to adjust your figures accordingly.

Securing access to necessary resources for non-eligible products and services does not mean that all resources to support the purchased services must be paid for out of current year funds.

Therefore, if you can demonstrate that the computers are in place, the training has occurred, or the electrical system has been upgraded as a result of investments in previous years, then those resources are available to support the purchased services. You may attach a page to the worksheet, or cross-reference the technology plan you have provided, to document that these resources are available. You may also estimate the value of donations and in-kind contributions on the worksheet, and include these values in the subtotals for each section. These can be listed on the line marked "Contributions/In Kind" for each section.

*Everything is in place & paid for*

If you have submitted your E-Rate request on behalf of a school system, a library system, or a consortium, your response on these worksheets should represent the total of all of the resources available in all of the eligible entities covered by your request. For example, you would include your estimate of all of the networked computers in your school district or library system buildings that will be covered by your funding request. If, as an applicant, you have submitted multiple Form(s) 471, a single worksheet should be used to summarize the total requests for all of your Form(s) 471, and the total resources that are available to support those requests.

### Section II: Hardware

Hardware includes computers, printers, fax machines, video equipment, scanners, CD-ROM servers, etc., that are used to support and improve education or library service.

To use telecommunications access effectively for improved education or library service you should have computers that can take advantage of the resources available on the Internet. Legacy equipment (PCs below 486 or Macs below 040 processors) that can not take full advantage of the

## Attachment: A

Internet should not be included in the hardware available to support purchased services unless they can support text-based browsing (such as Macs with 020 processors or 386 PCs with appropriate software).

- In Section II-A, please list the number of computers adequately connected to the Internet as of today (enter in number 13a) and the number of computers planned to be connected as of June 30, 2003. (enter in number 13b)
- In Section II-B, please estimate your total expenditure for hardware for the fiscal year prior to the funding year (i.e. 2001-2002) and for the fiscal year that coincides with the funding year (i.e. 2002-2003). Enter these numbers in Section II-B, Numbers 14a and 14b.
- \* Estimate the value of in-kind hardware donations received during the last fiscal year and for this fiscal year. Enter these numbers in Section II-C, numbers 15a and 15b.

660a + b

If you have made a significant investment in technology prior to these fiscal years, please summarize these resources that are already in place in the section titled, *Resource Plan: Fax* *Invoice* *for computers*  
Back Page 2. You may be asked to provide documentation to support this or any other statement that you make in response to this information request. If you have applied for matching funds or grants from other sources that have not been approved yet, please note it. Include all information about resources that you have available to make use of the E-rate program.

### Section III: Professional Development

Professional Development, which is not eligible for E-rate discounts, is necessary to ensure that you are prepared to make effective use of purchased services. Professional development should provide for ongoing and sustained training for not just the technical staff, but teachers and librarians as well.

*TVMSU V.R. ↓*  
Your budget should provide for professional development that goes beyond the acquisition of generic computer skills to include mastery of technology applications specifically designed to improve teaching, learning or library service. Training that is limited to the acquisition of basic computer skills is not sufficient. Training should be coordinated with the availability of appropriate technology in the school, classroom, or library.

*RETA ↓*  
 The following are possible professional development costs that might have been incurred by your organization: seminars and conferences; faculty hired to conduct in-service or on-site training; technology related courses attended by your staff and paid for by your organization; books and other instructional materials; and full time professional development staff. Peer tutoring and peer monitoring is another method for delivering training, and you may include this in your estimate of Professional Development Contributions and in-kind donations. However, for these purposes, please do not include any non-technology related course costs.

- Estimate the percent of your instructional or library service staff that have been trained to use technology to improve education or library services and list the results in Section III-A (the combined total should equal 100%). Please note that here we are looking for the CURRENT percentages of teacher training; current as of today

*Tech Lab Training 2001-2002 Intel*

## Attachment: A

- Then estimate the value of your professional development expenditures for fiscal year 2001-2002. Place that amount next to number 18a.
- \* Estimate the value of your professional development expenditures for fiscal year 2002-2003. Place that amount next to number 18b.
- \* Estimate any in-kind or pro-bono professional development for fiscal year 2001-2002. Place this amount next to number 19a.
- \* Estimate any in-kind or pro-bono professional development expected for fiscal year 2002-2003. Place this amount next to number 19b.
- Add 18a and 19a.
- Place that number in 20a.
- Add 18b. and 19b.
- Place that number in 20b.

Intel  
Teach

If you have made a significant investment in professional development prior to these fiscal years, please summarize these resources that are already in place in the section titled, *Resource Plan: Fax Back Page 2*. If you have applied for matching funds or grants from other sources that have not been approved yet, please note it. Include all information about resources in the area of professional development that you have available to make use of the E-rate program.

## Section IV: Software

Some software (such as productivity tools, curriculum software, library content, etc.) is not eligible for discounts, but is necessary to make effective use of the purchased services. (Software to operate a network server is eligible and would be included in Connectivity above.)

Tech

- \* Estimate your 2001-2002 expenditure on software.
  - Enter this amount in 21a.
- Estimate your 2002-2003 fiscal year anticipated expenditures in the area of software.
  - Enter this amount in 21b.
- \* Estimate any in-kind contributions of software products during fiscal year 2001-2002.
  - Enter this amount in 22a.
- \* Estimate any 2002-2003 fiscal year anticipated in-kind contributions of software.
  - Enter this amount in 22b.
- Add 21a and 22a.
- Place this number in 23a.
- Add 21b and 22b.
- Place this number in 23b.

MS Office  
XP  
PLATO  
IMAIL  
Add Reader  
STARNorton  
Antivirus  
Norton  
GhostKid Pix  
Exploring  
D. no 2005

Tech

## Attachment: A

If you have made a significant investment in technology prior to these fiscal years, please summarize these resources that are already in place in the section titled, *Resource Plan: Tax Back Page 2*. If you have applied for matching funds or grants from other sources that have not been approved yet, please note it. Include all information about resources that you have available to make use of the E-rate program.

**Section V: Retrofitting**

Retrofitting that may be necessary to make effective use of purchased services is not eligible for USF discounts, but it is necessary to make effective use of the purchased services. Ineligible retrofitting includes electrical wiring, asbestos removal, building modifications, renovations and repairs necessary to use the requested E-rate products and services. Retrofitting is not the costs that you incurred to upgrade your computer wiring or network hardware.

- Please estimate your retrofitting investment during fiscal year 2001-2002
- Place this number in 24a.
- ☒ Estimate your retrofitting expenses anticipated during fiscal year 2002-2003.
- Place this number in 24b.
- ☒ Estimate any in-kind or pro-bono work in the area of retrofitting received during fiscal year 2001-2002.
- Place this number in 25a.
- ☒ Estimate expected in-kind or pro-bono work in the area of retrofitting that you anticipate receiving during fiscal year 2002-2003.
- Place this number in 25b.
- Add 24a and 25a.
- Place this number in 26a.
- Add 24b and 25b.
- Place this number in 26b.

If you have made a significant investment in technology in prior fiscal years, please summarize these resources that are already in place in the section titled, *Resource Plan: Tax Back Page 2*. If you have applied for matching funds or grants from other sources that have not been approved yet, please note it. Include all information about resources that you have available to make use of the E-rate program.

**Section VI: Maintenance**

Systems maintenance and operations costs for ineligible hardware and software, and salaries of staff are ineligible for USF discounts. Nevertheless, they are necessary to make effective use of the purchased services (maintenance costs for E-rate eligible equipment and services, that do not include applicant staff salaries, should be included in Connectivity above).

## Attachment: A

- Please estimate your expenditures for systems maintenance and operations in the 2001-2002 fiscal year.
- Place this number in 27a.
- ☒ Estimate your expenditures for the coming fiscal year (2002-2003) for systems maintenance and operations.
- Place this number in 27b.
- ☒ Estimate any pro-bono maintenance or the value of donated time on maintenance for the 2001-2002 fiscal year.
- Place this number in 28a.
- ☒ Estimate any pro-bono maintenance or the value of donated time on maintenance anticipated for the 2002-2003 fiscal year
- Place this number in 28b.
- Add 27a and 28a.
- Place this number in 29a.
- Add 27b and 28b.
- Place this number in 29b.

If you have made a significant investment in technology in prior fiscal years, please summarize these resources that are already in place in the section titled, *Resource Plan: Fax Back Page 2*. If you have applied for matching funds or grants from other sources that have not been approved yet, please note it. Include all information about resources that you have available to make use of the E-rate program.

### **Technology Plan**

Please include a copy of your technology plan to your response to this request. Your technology plan helps us better understand the resources that are available to support the funding requests that you have submitted on your Form(s) 471.



Attachment: A

**Technology Implementation Level**

- In column A, list the number of schools or libraries that currently fall into the technology levels described below.
- In column B, indicate where your schools/libraries will be as a result of the technology delivered as a result of the e-rate applications you have submitted this year.

If you are responding on behalf of a consortium, a school district or a library system that has schools or libraries at different levels, please list the number of sites that are at each level.

Please list the <i>number</i> of schools and libraries that are currently and <i>will be</i> at each level <i>after</i> the requested services are installed.		(A) Current Number of Schools/Libraries	(B) After 2002-2003 E-rate products/services number of Schools/Libraries
Level I	Phone Service, and Single Point Internet Access		
		Place the above number next to "Level 1 current:" at the bottom of the Fax Back Page 1.	Place the above number next to "Level 1 by 6/30/03:" at the bottom of the Fax Back Page 1.
Level II	Phone Service, and multiple computers connected directly to the Internet in a networked lab or single location in a library. Please also estimate the number of computers to be networked.		
		Place the above number next to "Level 2 current:" at the bottom of the Fax Back Page 1.	Place the above number next to "Level 2 by 6/30/03:" at the bottom of the Fax Back Page 1.
Level III	Phone Service, and direct Internet connection on building LAN with some classrooms networked or distributed centers in a library. Please estimate the number of rooms, centers, and computers.	✓	
		Place the above number next to "Level 3 current:" at the bottom of the Fax Back Page 1.	Place the above number next to "Level 3 by 6/30/03:" at the bottom of the Fax Back Page 1.
Level IV	Phone Service, and direct Internet connection on building LAN with access from all classrooms or library centers. Please indicate number of rooms, public access stations, and computers.		✓
		Place the above number next to "Level 4 current:" at the bottom of the Fax Back Page 1.	Place the above number next to "Level 4 by 6/30/03:" at the bottom of the Fax Back Page 1.

Attachment: A

# Item 25 Worksheet Summary

Funding Year 5  
(2002-2003)

Please Add Subtotals of Sections I through VI

## Section I: Connectivity

I-A. Commitment Amount Requested

• Telecom	1	\$112,320
• Internet Access	2	\$0
• Internal Connections	3	\$923875.13
<b>Total of Funding Requests (I-A)</b>	<b>4</b>	<b>\$1,036,195.13</b>

I-B. Form 471 Applicant's Share

• Telecom	5	\$12,480.00
• Internet Access	6	\$0
• Internal Connections	7	\$123,045.01
<b>Total of Applicant Share (I-B)</b>	<b>8</b>	<b>\$135,525.01</b>

I-C. Amounts not covered by USF Program

• Telecom	9	\$0.00
• Internet Access	10	\$0.00
• Internal Connections	11	\$0.00
<b>Total of Amounts Not Covered (I-C)</b>	<b>12</b>	<b>\$0.00</b>

**Total Connectivity (I-A + I-B + I-C) \$ \$1,171,720.14**

## Section II: Hardware

I-A. Number of Computers Connected	13a. 150 (Fiscal Year 2001-02)	13 b. 650 (Fiscal Year 2002-03)
I-B. Applicant Expenditure	14a. \$9,000.00	14 b. \$937,924.38
I-C. Contribution / In-Kind Donations	15a. \$	15 b. \$
<b>Total Hardware (II-B + II-C)</b>	16a. \$9,000.00	16 b. \$937,924.38

## Section III: Professional Development

III-A. Staff Training Hours (Total 100%):

0-5 Hrs. \_\_\_ % 5-15 Hrs. 10 % 15-25 Hrs. 50 % 25-50 Hrs. 30 % 50+ Hrs. 10 %

III-B. Applicant Expenditure	18a. \$5000.00	18b. \$10000.00
III-C. Contribution / In-Kind Donations	19a. \$4000.00	19b. \$4000.00
<b>Total Professional Development (III-B + III-C)</b>	20a. \$9000.00	20b. \$14,000.00

## Section IV: Software

IV-A. Applicant Expenditure	21a. \$28,000.00	21b. \$78,000.00
IV-B. Contribution / In-Kind Donations	22a. \$	22b. \$
<b>Total Software (IV-A + IV-B)</b>	23a. \$28,000.00	23b. \$78,000.00

## Section V: Retrofitting

V-A. Applicant Expenditure	24a. \$5000.00	24b. \$37,000,000.00
V-B. Contribution / In-Kind Donations	25a. \$	25b. \$
<b>Total Retrofitting (V-A + V-B)</b>	26a. \$5000.00	26b. \$37,000,000.00

## Section VI: Maintenance

VI-A. Applicant Expenditure	27a. \$50,000.00	27b. \$120,000.00
VI-B. Contribution / In-Kind Donations	28a. \$	28b. \$
<b>Total Maintenance (VI-A + VI-B)</b>	29a. \$50,000.00	29b. \$120,000.00

**Total of E-Rate Initiative (Total of Section I to VI)** 30a. \$101,000.00 30b. \$39,321,644.52

**Technology Implementation Level** (Enter Number of schools/libraries at each level from worksheet)

<b>Level 1 current:</b>	<b>Level 2 current:</b>	<b>Level 3 current: 3/3</b>	<b>Level 4 current:</b>
<b>Level 1 by 6/30/03:</b>	<b>Level 2 by 6/30/03:</b>	<b>Level 3 by 6/30/03:</b>	<b>Level 4 by 6/30/03: 3/3</b>